

TECHNICAL RESPONSE WORKSHEET

Line	Question	BIDDER 1	BIDDER 1	BIDDER 1	BIDDER 2	BIDDER 2	BIDDER 2
		Evaluator 1	Evaluator 2	Evaluator 3	Evaluator 1	Evaluator 2	Evaluator 3
		TOTAL POINTS	TOTAL POINTS	TOTAL POINTS	TOTAL POINTS	TOTAL POINTS	TOTAL POINTS
1	Does your firm have full-time, registered investment advisor(s) on staff (not sub-contractors nor independent subcontractors) ? Name advisors that will be assigned to this project and provide each one's Form ADV	32	32	32	16	32	24
2	Describe your firm's liability coverage for errors or omissions including limits	32	24	32	32	24	32
3	Have you attached a copy of your two (2) latest Audited Financial Statements?	32	16	32	32	16	32
4	Describe in detail what the County would need to provide your firm if awarded a contract.	16	24	24	24	24	16
5	Will any of the proposed services be subcontracted out to a third-party? If so, denote the percentage and name the third-party(s).	32	32	32	32	32	24
6	Will you provide quarterly performance and benchmarking to the County? In what format?	32	16	32	32	16	32
7	List your qualified Investment Managers by name and title with certifications/licenses held, and by what benchmark do you monitor each performance by asset class.	24	16	24	16	16	24
8	Please detail your investment policy and upload it with your bid	24	32	24	24	32	16
9	Detail your strategy towards a Defined Benefit plan and a Direct Contribution Plan.	32	24	32	32	24	24
10	Describe your asset/liability modeling that you currently perform. Describe the tools you use to perform the modeling.	32	32	24	32	32	24
11	What methods do you use to provide the asset/liability mix that provides the highest probability that maximize returns while minimizing risks?	32	24	32	24	24	24
12	How do you minimize long-term pension costs? Describe your long term strategy.	32	24	32	24	24	24
13	Describe how your asset/liability modeling takes into account the current interest rate environment and current fiscal and monetary policy?	32	24	32	32	24	24
14	Does your asset/liability modeling take into account forecasting models for interest rates and monetary and fiscal policy?	32	24	32	32	24	24
15	Describe how you advise clients on Liability Driven Investments and how the strategy improves the funding level while reducing risk	32	24	24	32	24	24
16	Describe the principal steps and methodology that you will employ to determine optimal asset allocations	32	16	32	32	16	24
17	What criteria did you use to select custodial and safekeeping services for both physical and digital files?	32	16	24	32	16	16
18	As a pension advisor, in what ways do you provide advice prudently that is in the best interest of the plan participants?	32	24	32	32	24	24
19	Has your organization or any officer or principal been involved in any business litigation or other legal proceeding related to your consulting activities? If so, provide a brief explanation and indicate the current status.	32	16	32	32	50	32
20	Do you charge a fixed rate per transaction for security trades? Define the rates.	16	24	32	24	24	32
21	Do you consider yourself a fiduciary under Employee Retirement Income Security Act with respect to the recommendations that you provide?	32	24	32	32	24	24
22	Have you attached and uploaded at least three (3) public, non-profit, governmental or local municipality references that you provide investment consulting services for?	32	24	32	32	24	24
23	The County requires that all who enter into a contract for the physical performance of services with the County must satisfy O.C.G.A. § 13-10-91 and Rule 300-10-1-.02, in all manner, and such are conditions of the contract. Do you agree?	32	24	32	32	24	24
24	If your company is an LLC, have you identified all principals full legal names?	32	16	32	32	50	24
25	If you are hired, will you acknowledge in writing that you have a fiduciary obligation as an investment adviser to the plan while providing the consulting services the County is seeking?	32	24	32	32	24	24

Total Technical Score: 752 576 752 728 644 616

Average Score:

693

663